MY 0 8 DB C

Please type a plus sign (+) inside this box +

#6.

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/902,811

Filing Date Jul 10, 2004

First Named Inventor Smith

Group Art Unit 2124

Examiner Name MS1-862US

Total Number of Fages III File Gastinssien File Fages III File Fages III File Gastinssien File Fages III File Fa				
	ENCLOSURES (check all that apply)			
Fee Transmittal Form	Assignment Papers After Allowance Communication to Group			
Fee Attached	Drawing(s)  Sheets  Appeal Communication to Board of Appeals and Interferences			
Amendment / Reply	Licensing-related Papers  Appeal Communication to Group  (Appeal Notice, Brief, Reply Brief)			
After Final	Petition Proprietary Information			
Affidavits/declaration(s)	Petition to Convert to a Provisional Application Status Letter			
Extension of Time Request	Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Other Enclosure(s) (please identify below):  Return Receipt Post Card: Form PTO			
Express Abandonment Request	Terminal Disclaimer Return Receipt Post Card; Form PTO 1449 and (4) cited references  Request for Refund			
Information Disclosure Statement	CD, Number of CD(s)			
Certified Copy of Priority Document(s)	Remarks			
Response to Missing Parts/ Incomplete Application				
Response to Missing Parts under 37 CFR 1.52 or 1.53	22801 PATENT TRADEMARK OFFICE			
	<u> </u>			
SIGNATI	JRE OF APPLICANT, ATTORNEY, OR AGENT			
Firm or Individual name Lewis C. Lee, Reg	. No. 34,656 RECEIVED			
Signature	MAY 1 3 2003			
Date May 6, 2003	OFFICE OF PETITIONS			
CERTIFICATE OF MAILING				
hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in				
an envelope addressed to: Commissioner for Patents P.O. Roy 1450. Alexandria, VA. 22313-1450 on this date.				

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:

Typed or printed name

Signature

Date

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.

MA 0 8 5003 E			U.S. F	atent a	Approved for use through Trademark Office; U.S. D	gh 04/30/2003. O	COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are require	_	espond (	to a coll	ection o	f information unless it displace Complete if Known	The state of the same and the same same same same same same same sam	ontrol number.
FEE TRANSMITTA		Appli	cation	Numbe	00,000,011		
for TV 0000		<del></del>	Date		Jul 10, 2001		CIVIE
for FY 2003		──Ť	Named	Inven	<del></del>	HEU	
Effective 01/01/2003. Patent fees are subject to annual revision.			iner N		101	VARA	0 9 2003
Applicant claims small entity status. See 37 CFR 1.27	,			airie	2124	IVIA 1	0 3 2000
TOTAL AMOUNT OF PAYMENT. (\$) 0.00		Art U	ney Do	cket N	1404 00040	Technolog	y Center 2
METHOD OF PAYMENT (check all that apply)				FEE	CALCULATION (cor	The state of the s	
Check Credit card Money Other None	3. /	ADDITI	ONAL	. FEE	\$	Marketon and the state of the s	
Order Deposit Account:	Large	Entity	Small	Entity			
Denosit	Fee Cod			Fee (\$)	Fee Descriptio	n	Foo Bold
Account Number 12-0769	105		2051	٠	Surcharge - late filing fee or	oath	Fee Paid
Deposit Account Lee & Hayes, PLLC	1052	2 50	2052		Surcharge - late provisional cover sheet	filing fee or	
Name The Commissioner is authorized to: (check all that apply)	1053	3 130	1053		Non-English specification		
The Commissioner is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments		2 2,520	1812	2,520	For filing a request for ex pa	rte reexamination	
Charge any additional fee(s) during the pendency of this application	1804	4 920*	1804	920*	Requesting publication of SI Examiner action	R prior to	
Charge fee(s) indicated below, except for the filing fee		5 1,840*	1805	1,840*	Requesting publication of S	IR after	
to the above-identified deposit account.	105				Examiner action		H
FEE CALCULATION	1251 1252		2251	55 205	Extension for reply within fir Extension for reply within se		
1. BASIC FILING FEE	1252		2252	465	Extension for reply within th		
Large Entity Small Entity Fee Fee Fee Fee Fee Description Fee Paid		4 1,450	2254	725	Extension for reply within fo		
Code (\$) Code (\$) 1001 750 2001 375 Utility filing fee		5 1,970	2255	985	Extension for reply within fif		
1001 750 2001 375 Utility filing fee 1002 330 2002 165 Design filing fee	140		2401	160	Notice of Appeal		· .
1003 520 2003 260 Plant filing fee	1402	2 320	2402		Filing a brief in support of a	n appeal	
1004 750 2004 375 Reissue filing fee	1403	3 280	2403	140	Request for oral hearing		
1005 160 2005 80 Provisional filing fee	1451	1 1,510	1451	1,510	Petition to institute a public	use proceeding	
SUBTOTAL (1) (\$)	1452	2 110	2452	55	Petition to revive - unavoida	ible REC	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		3 1,300	2453	650	Petition to revive - unintenti	onal illus	1
Fee from		1 1,300	2501		Utility issue fee (or reissue)	MAY	1 3 200
Extra Claims below Fee Paid  Total Claims X 18 =	1502 1503		2502 2503		Design issue fee Plant issue fee	• •	
Independent - 3** = X 84 =	1460		1460	-	Petitions to the Commission	OFFICE	( <del>): DEIII</del> (()
Multiple Dependent	1807		1807		Processing fee under 37 CI		
Large Entity   Small Entity	1806		1806		Submission of Information D		
Fee Fee Fee Fee <u>Fee Description</u> Code (\$)	802		8021		Recording each patent assign	gnment per	
1202 18 2202 9 Claims in excess of 20	1809		2809		property (times number of p Filing a submission after fin		
1201 84 2201 42 Independent claims in excess of 3	100	, ,,00	2009	. 3/3	(37 CFR 1.129(a))	ai rojeouori	
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810	375	For each additional inventio	n to be	1 11

SUBTOTAL (3) (\$) \*\*or number previously paid, if greater; For Reissues, see above SUBMITTED BY (Complete (if applicable) Registration No. Telephone (509) 324-9256 Name (Print/Type) 34,656 (Attorney/Agent) Signature 2007

1801

1802

750

900

Other fee (specify)

2801

1802

\*Reduced by Basic Filing Fee Paid

\*\* Reissue independent claims

and over original patent

\*\* Reissue claims in excess of 20

(\$)

over original patent

SUBTOTAL (2)

1204

1205

84

2204

2205

examined (37 CFR 1.129(b))

900 Request for expedited examination

of a design application

375 Request for Continued Examination (RCE)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Pr vide credit card informati n and authorizati n n PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.



10

11

12

13

14

15

16

17

18

19

20

21

22

23

24

25

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.	09/902.811
Filing Date	
Inventorship	
Applicant	Microsoft Corporation
Attorney's Docket No.	
Title: Application Program Interface for Network Soft	

RECEIVED

## **INFORMATION DISCLOSURE STATEMENT**

MAY 0 9 2003

References -- See Attached Form PTO-1449

Technology Center 2100

## **REMARKS**

The citations listed, copies attached, are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

RECEIVED

MAY 1 3 2003

Respectfully Submitted, OFFICE OF PETITIONS

Date: May 6, 2003

Lewis C. Lee

Reg. No. 34,656

OIPE	\
MAY 0 8 2003 .	type a plus sign (+) inside this box -> +
RADOWS !	Substitute for form 1449B/PTO
	INICODE ATION DIOOL

Sheet

## **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

(use as many sheets as necessary) of

mplete if Known		_
09/902,811	DECEN/FI	)
Jul 10, 2001	HEOLI L	
Smith	2000	
2124	MIAY 0 9 2003	
		40
MS1-862US	Technology Conter 2	וטו.
֡֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜	09/902,811 Jul 10, 2001 Smith 2124	Smith   MAY 0 9 2003   Tachnology Conter 2

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
		TIM O'REILLY, The Network Really Is the Computer, The O'Reilly Network at (http://www.oreilyynet.com) 06/08/2000, pgs 1-11.	
		The Java tm 2 Enterprise Edition Developer's Guide, v1.2.1, May 2000, Sun Microsystems, Palo Alto Ca. U.S.A., chapter 1; pgs. 1-10 & pgs. 152-155.	
		BILL SHANNON, Java tm 2 Platform Enterprise Edition Specification, v1.2, Sun Microsystems, Palo Alto , Ca. U.S.A., 12/17/99, pgs. 2-1 thru 2-7 & 6-1.	
		JAVA tm PLATFORM, STANDARD EDITION, v1.2.2 API SPECIFICATION, 1993-1999 Sun Microsystems, Inc. in the U.S.A. and other countries. Palo Alto, Ca. U.S.A, pgs.1-4.	
		RECEIVED	
		MAY 1 3 2003	
		OFFICE OF PETITIONS	

Examiner	Date	
Signature	Considered	

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.